

# Online Orthogonal Vectors Revisited

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**Columbia Theory Lunch, 2026**



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# Outline

- Online Orthogonal Vectors
- Algorithms
- Lower Bounds

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# (Offline) Orthogonal Vectors

# Orthogonal Vectors Problem

$d$

	1	1	0	1
	0	1	1	1
	1	1	1	1
$N$	0	1	1	0
	1	0	1	0
	0	1	0	1

$d$

0	0	1	1
1	1	0	0
0	1	0	0
1	1	0	1
0	1	1	0
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$N$

# Orthogonal Vectors Problem

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$d$

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	0	1	1	0
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$N$

# Orthogonal Vectors Problem

- $S, T$  are sets of  $N$  vectors from  $\{0, 1\}^d$ . Are there  $s \in S$  and  $t \in T$  such that  $s \cdot t = \sum_{i=1}^d s_i \cdot t_i = 0$ ?

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Is there a  $N^{1.9999999}$  algorithm for OV?

# Unconditional Lower bounds

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- We don't really have a good toolkit yet to argue about lower bounds.



# Boolean Satisfiability (SAT)

$$\blacksquare \varphi(x_1, \dots, x_n) = (x_1 \vee \bar{x}_2 \vee \dots \vee x_7) \wedge$$
$$\dots \wedge$$
$$(x_2 \vee \bar{x}_3 \vee \dots \vee x_8)$$









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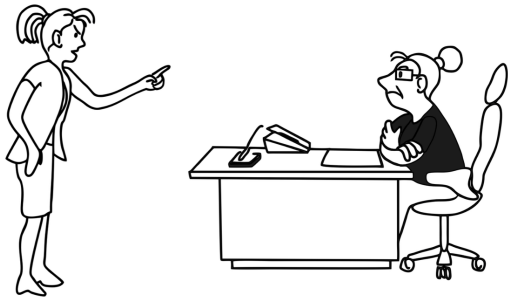
- $YFP = \{ \text{Hamiltonian Path, Traveling Salesman, Independent Set, Clique, 3-Coloring, } \dots \}$

# Proof by Human Effort



**“I can’t find an efficient algorithm, I guess I’m just too dumb.”**

# Proof by Human Effort



**"I can't find an efficient algorithm, because no such algorithm is possible!"**

# Proof by Human Effort



**"I can't find an efficient algorithm, but neither can all these famous people."**

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- SAT can be solved in time  $O(2^n \cdot \text{poly}(|\varphi|))$
- We don't know how to solve SAT exponentially faster: in time  $2^{0.9999n}$  even when  $m = O(n)$
- Can we prove conditional lower bounds on polynomial time problems?

# Strong Exponential Time Hypotheses

## Strong Exponential Time Hypothesis (SETH)

For every  $\epsilon > 0$ , there exists  $k$  such that no algorithm solves  $k$ -SAT on formulas with  $n$  variables in time  $2^{(1-\epsilon)n}$

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- SETH as a limit of current algorithmic techniques

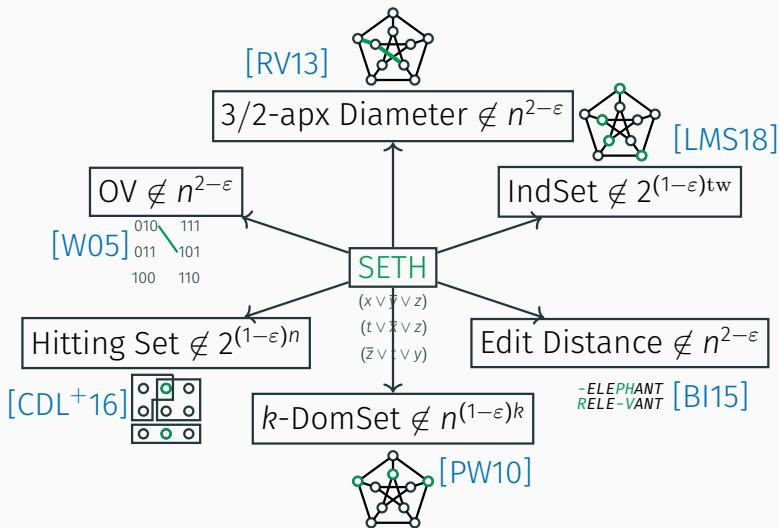
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- SETH as a limit of current algorithmic techniques
- Refuting SETH implies super-linear circuit lower bounds

# Fine-Grained Reductions



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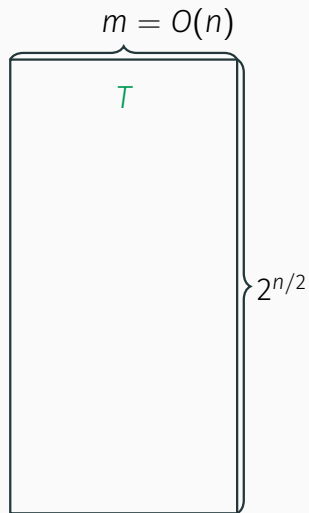
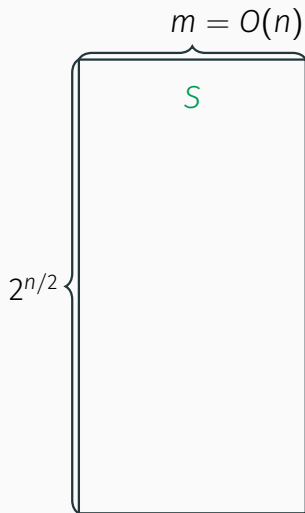
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- Given a CNF  $\varphi$ , split its  $n$  input variables into two sets of size  $n/2$
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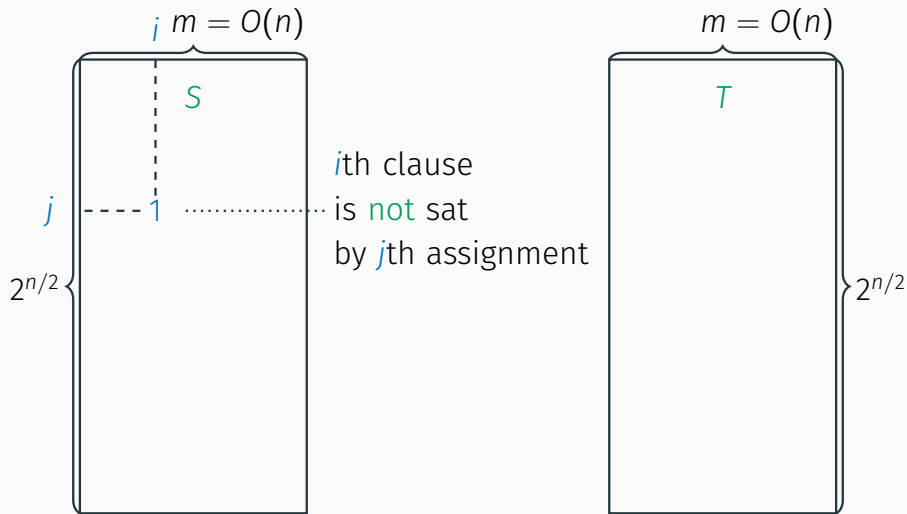
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for each assignment to the second — a vector in  $T$
- $N = 2^{n/2}$

SETH  $\implies$  OV



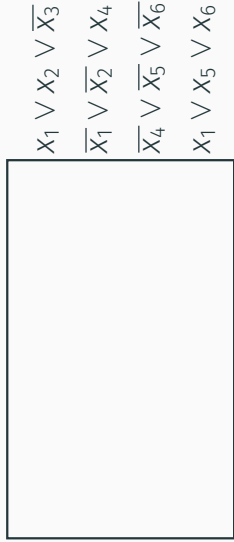
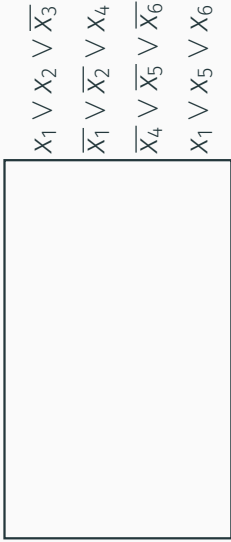
# SETH $\implies$ OV



## SETH $\implies$ OV. Example

$$(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_4) \wedge (\bar{x}_4 \vee \bar{x}_5 \vee \bar{x}_6) \wedge (x_1 \vee x_5 \vee x_6)$$

# SETH $\implies$ OV. Example



# SETH $\implies$ OV. Example

$(X_1, X_2, X_3)$

$(0, 0, 0)$   
 $(0, 0, 1)$   
 $(0, 1, 0)$   
 $(0, 1, 1)$   
 $(1, 0, 0)$   
 $(1, 0, 1)$   
 $(1, 1, 0)$   
 $(1, 1, 1)$

$X_1 \vee X_2 \vee \overline{X_3}$	$\overline{X_1} \vee \overline{X_2} \vee X_4$	$\overline{X_4} \vee \overline{X_5} \vee \overline{X_6}$	$X_1 \vee X_5 \vee X_6$

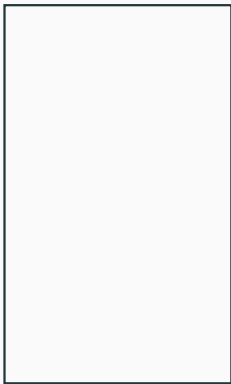
$X_1 \vee X_2 \vee \overline{X_3}$   
 $\overline{X_1} \vee \overline{X_2} \vee X_4$   
 $\overline{X_4} \vee \overline{X_5} \vee \overline{X_6}$   
 $X_1 \vee X_5 \vee X_6$


# SETH $\implies$ OV. Example

$(X_1, X_2, X_3)$

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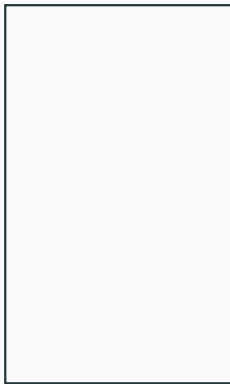
$(0, 0, 0)$   
 $(0, 0, 1)$   
 $(0, 1, 0)$   
 $(0, 1, 1)$   
 $(1, 0, 0)$   
 $(1, 0, 1)$   
 $(1, 1, 0)$   
 $(1, 1, 1)$



$(X_4, X_5, X_6)$

$X_1 \vee X_2 \vee \overline{X_3}$   
 $\overline{X_1} \vee \overline{X_2} \vee X_4$   
 $\overline{X_4} \vee \overline{X_5} \vee \overline{X_6}$   
 $X_1 \vee X_5 \vee X_6$

$(0, 0, 0)$   
 $(0, 0, 1)$   
 $(0, 1, 0)$   
 $(0, 1, 1)$   
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 $(1, 0, 1)$   
 $(1, 1, 0)$   
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(0, 0, 0)	0			
(0, 0, 1)				
(0, 1, 0)				
(0, 1, 1)				
(1, 0, 0)				
(1, 0, 1)				
(1, 1, 0)				
(1, 1, 1)				

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(0, 0, 0)	0			
(0, 0, 1)	1			
(0, 1, 0)				
(0, 1, 1)				
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(1, 0, 1)				
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- For an assignment  $x \in \{0, 1\}^{n/2}$ , add  $s \in \{0, 1\}^m$  to  $S$ :

$s_i = 1$  iff  $x$  **doesn't satisfy** clause  $C_i$

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$$N + N^{2-\epsilon}$$

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- An  $N^{2-\epsilon}$  algorithm for OV gives an algorithm for SAT with run time

$$N + N^{2-\epsilon} = (2^{n/2})^{2-\epsilon} = 2^{n(1-\epsilon/2)}$$

# OV Conjecture

## Orthogonal Vectors Conjecture

For every  $\varepsilon > 0$ , there exists  $c \geq 1$  such that no algorithm solves Orthogonal Vectors on dimension  $d = c \log n$  in time  $n^{2-\varepsilon}$

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- P vs NP is too hard
- Is SETH False? Beating brute force for SAT doesn't sound that crazy?
- Best algorithm for OV run in time  $O(n^{2-1/\log c})$  [AWY14]

# (Online) Orthogonal Vectors

# Online Orthogonal Vectors Problem

A 6x4 matrix of binary values (0s and 1s) is shown, enclosed in a rounded rectangle. A curly brace on the left side of the matrix is labeled  $N$ , indicating the number of rows. A curly brace above the matrix is labeled  $d$ , indicating the number of columns. The matrix contains the following values:

1	1	0	1
0	1	1	1
1	1	1	1
0	1	1	0
1	0	1	0
0	1	0	1

# Online Orthogonal Vectors Problem

	d			
N	1	1	0	1
	0	1	1	1
	1	1	1	1
	0	1	1	0
	1	0	1	0
	0	1	0	1

$$q = 0100$$

# Online Orthogonal Vectors Problem

A 6x4 matrix is shown, enclosed in a black border. A curly brace on the left side is labeled  $N$ , and a curly brace above the matrix is labeled  $d$ . The matrix contains the following values:

1	1	0	1
0	1	1	1
1	1	1	1
0	1	1	0
1	0	1	0
0	1	0	1

The fifth row, containing the values 1, 0, 1, 0, is circled with a green oval.

$$q = 0100$$

# Online Orthogonal Vectors Problem

	$d$			
$N$	1	1	0	1
	0	1	1	1
	1	1	1	1
	0	1	1	0
	1	0	1	0
	0	1	0	1

$$q = 1011$$

# Online Orthogonal Vectors Problem

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	0	1	0	1

$$q = 1011$$

No orthogonal vector

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	1	1	1	1
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$q$

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	1	1	1	1
	0	1	1	0
	1	0	1	0
	0	1	0	1

$q$

Does there exist input vector  
whose set of 0s contains  $q$ 's 1s?

# Equivalent Formulations

- **SubsetQuery**: preprocess  $n$  sets  $S_1, \dots, S_n \subseteq [d]$ . For a query  $q \subseteq [d]$ , check if  $\exists i: q \subseteq S_i$ .

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- **ContainmentQuery**: preprocess  $n$  sets  $S_1, \dots, S_n \subseteq [d]$ . For a query  $q \subseteq [d]$ , check if  $\exists i: S_i \subseteq q$ .

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- **PartialMatch**: preprocess  $n$  vectors  $x_1, \dots, x_n \in \{0, 1\}^d$ . For a query  $q \in \{0, 1, *\}^d$ , check if  $\exists i: \text{for all } j, q[j] = * \text{ or } q[j] = x_i[j]$ .

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- **ContainmentQuery**: preprocess  $n$  sets  $S_1, \dots, S_n \subseteq [d]$ . For a query  $q \subseteq [d]$ , check if  $\exists i: S_i \subseteq q$ .
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- **DNF Evaluation**: preprocess a DNF  $\varphi$  with  $n$  clauses and  $d$  variables. For a query  $x \in \{0, 1\}^d$ , evaluate  $\varphi(x)$ .

# Equivalent Formulations

- **SubsetQuery**: preprocess  $n$  sets  $S_1, \dots, S_n \subseteq [d]$ . For a query  $q \subseteq [d]$ , check if  $\exists i: q \subseteq S_i$ .
- **ContainmentQuery**: preprocess  $n$  sets  $S_1, \dots, S_n \subseteq [d]$ . For a query  $q \subseteq [d]$ , check if  $\exists i: S_i \subseteq q$ .
- **PartialMatch**: preprocess  $n$  vectors  $x_1, \dots, x_n \in \{0, 1\}^d$ . For a query  $q \in \{0, 1, *\}^d$ , check if  $\exists i: \text{for all } j, q[j] = * \text{ or } q[j] = x_i[j]$ .
- **DNF Evaluation**: preprocess a DNF  $\varphi$  with  $n$  clauses and  $d$  variables. For a query  $x \in \{0, 1\}^d$ , evaluate  $\varphi(x)$ .
- **OnlineInnerProduct, OrthogonalRangeSearch, ApproximateNearestNeighbors, ...**

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- Preprocess the  $N$  input vectors from  $\{0, 1\}^d$  into data structure  $\sigma \in \{0, 1\}^S$ ,  $d = c \log N$

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- Can we simultaneously have  $T \ll N$  and  $S \ll N^c$ ?

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## Conjecture [Riv74]

If  $S = O(Nd)$ , then  $T \geq N^{1-O(s/d)}$  for an average query of sparsity  $s$ .

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## Conjecture [JPP25], [md01]

For  $d = N^\epsilon$  and polynomial-time preprocessing, amortized  $T = \tilde{\Omega}(N^{1+\epsilon})$ .

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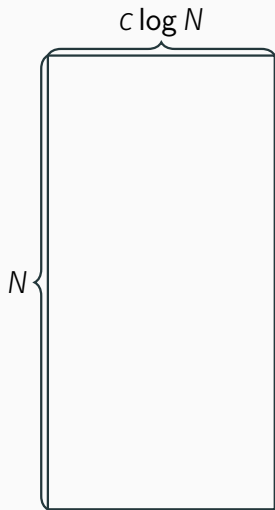
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- [CIP02]: Algorithms for  $d \gg \log N$ .

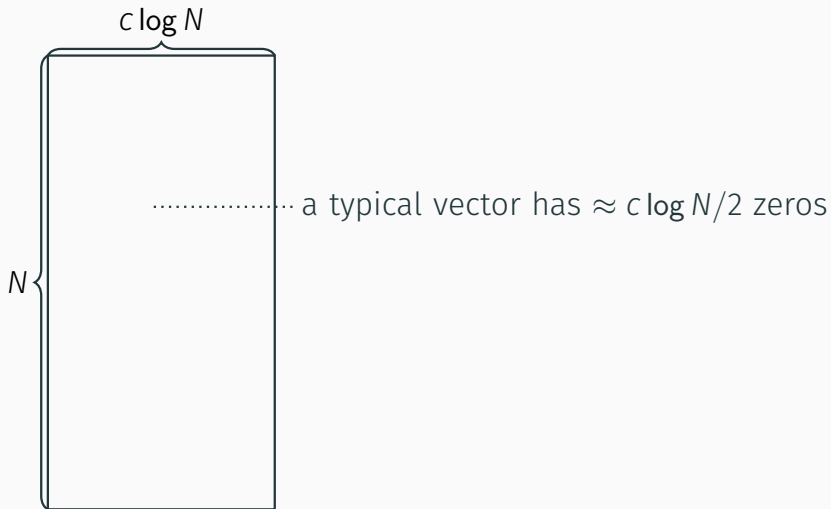
# Outline

- Online Orthogonal Vectors
- Algorithms
- Lower Bounds

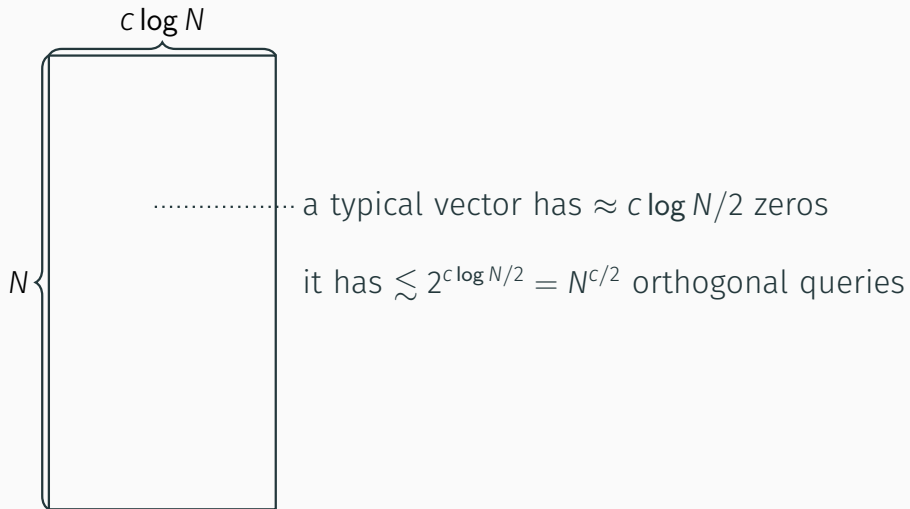
# Average-case OnlineOV: Toy Algorithm



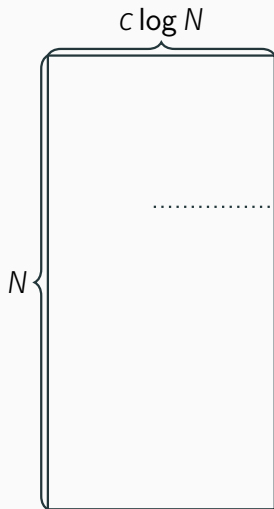
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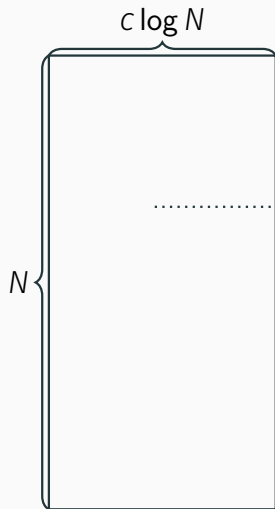
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it has  $\lesssim 2^{c \log N/2} = N^{c/2}$  orthogonal queries  
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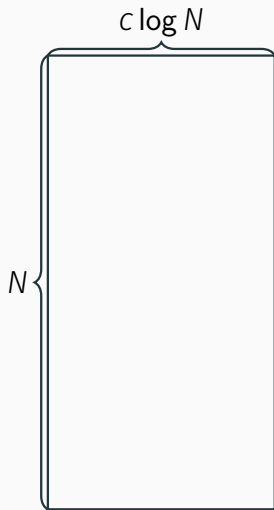
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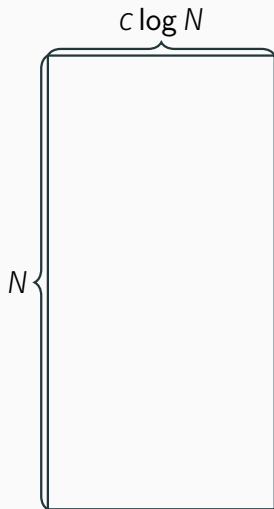
$$S \lesssim N^{c/2+1} \ll N^{c-1}$$

look up each query in time  $T \ll N$

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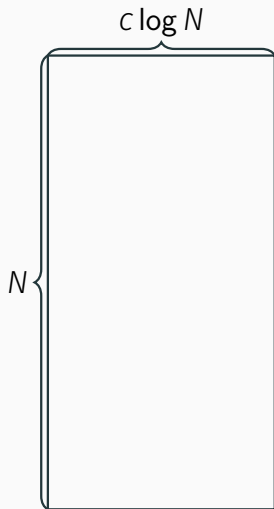
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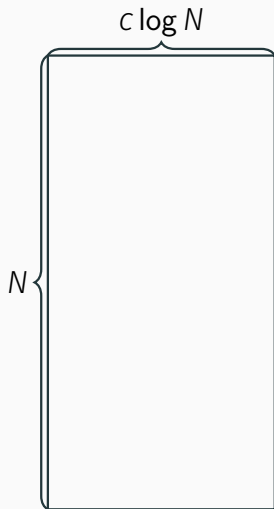
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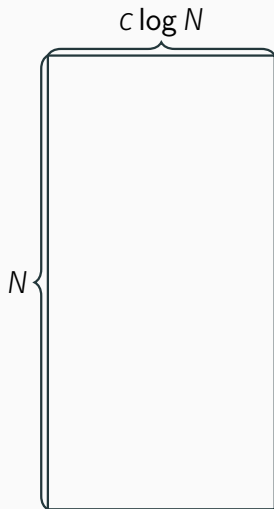
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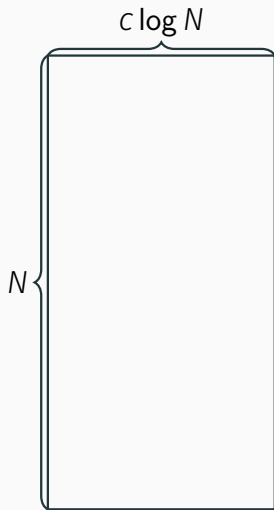
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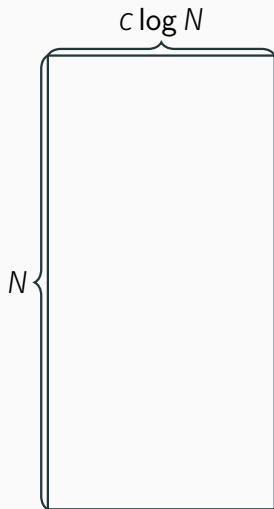
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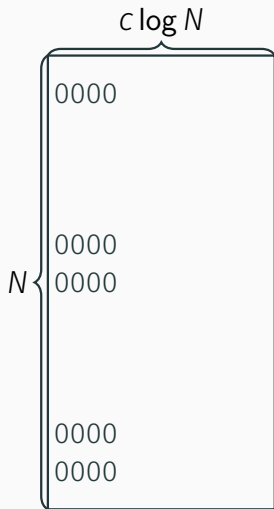
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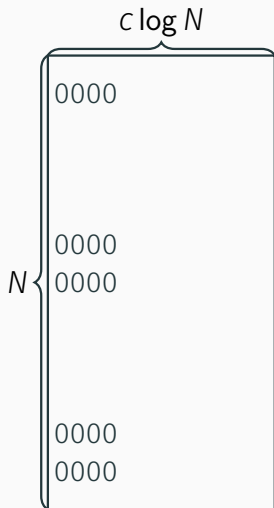
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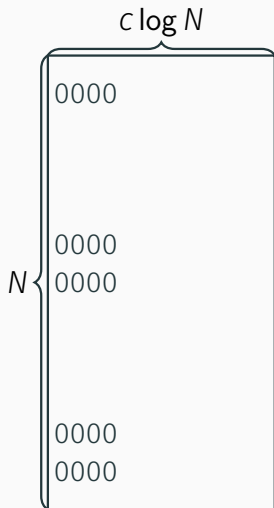
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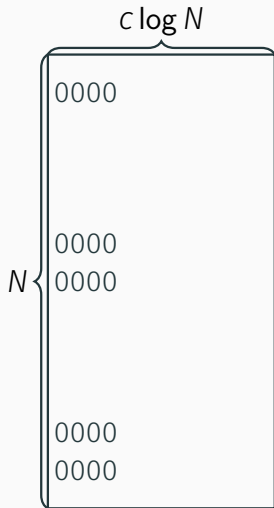
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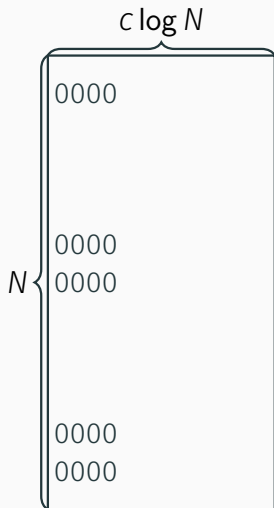
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# Applications

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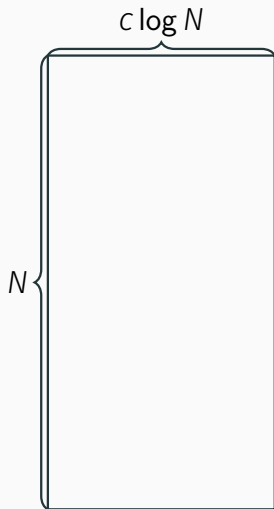
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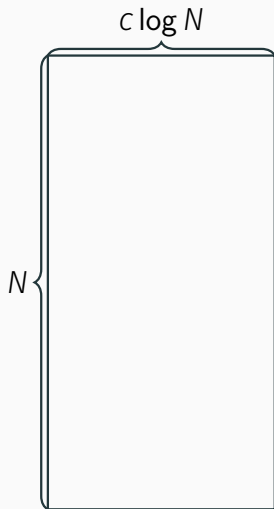
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# Worst-Case OnlineOV: Structure vs Randomness



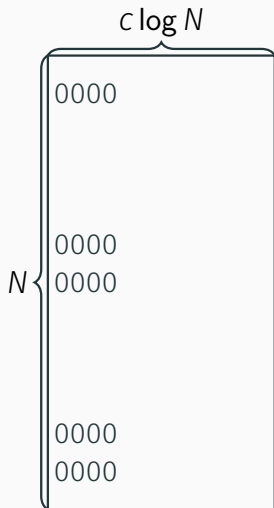
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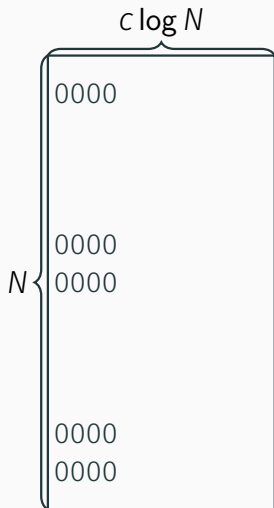
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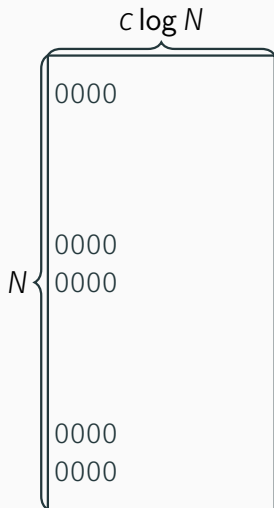


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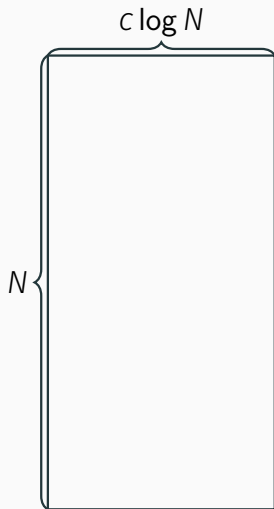
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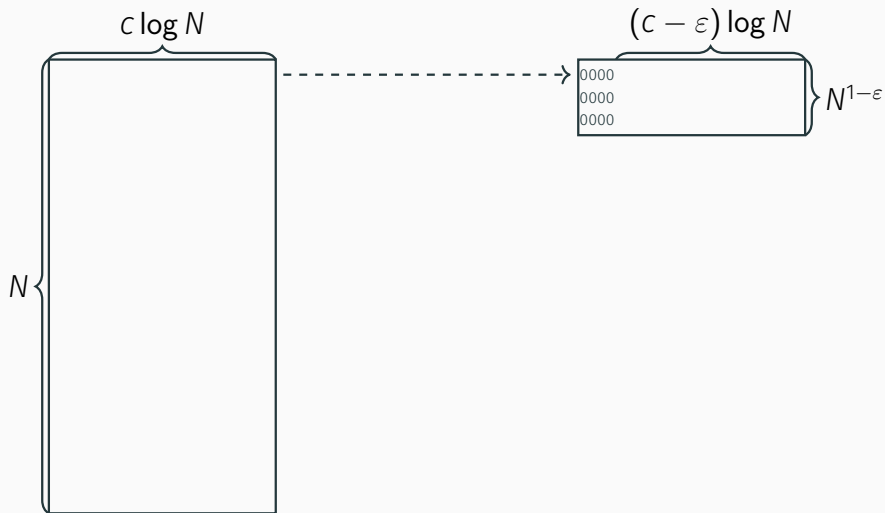
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this **structure** gives us a subinstance of Online OV in  $(c - \varepsilon) \log N$  dimensions!

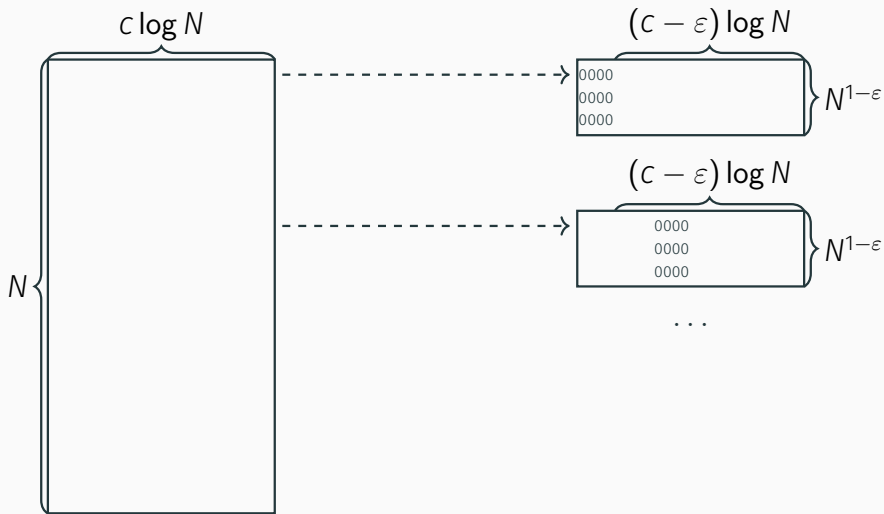
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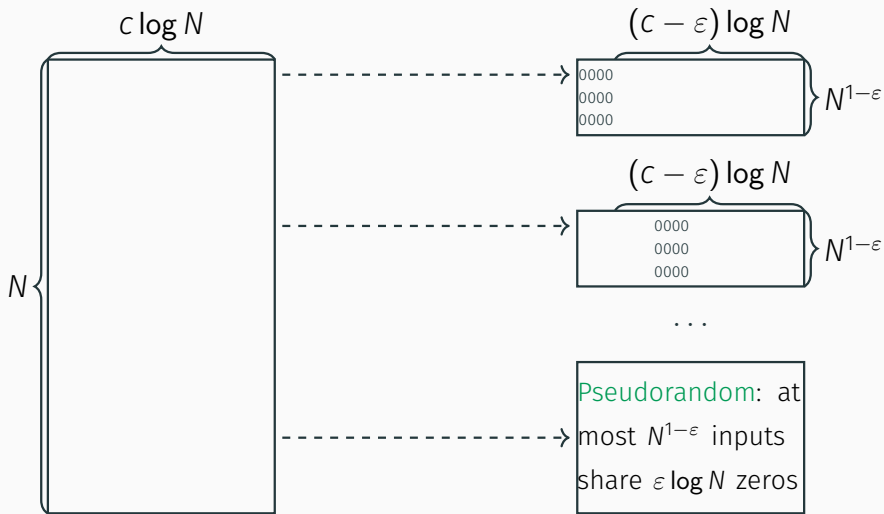
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# Outline

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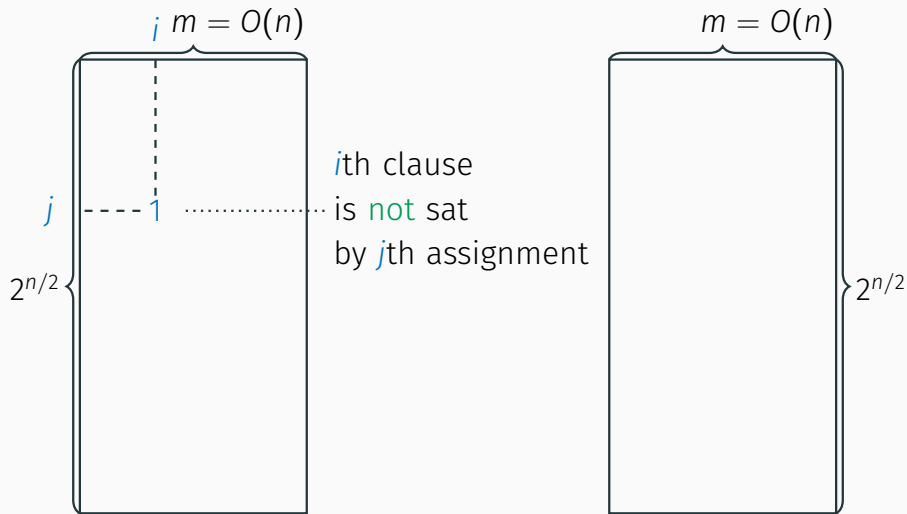
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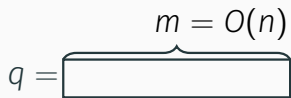
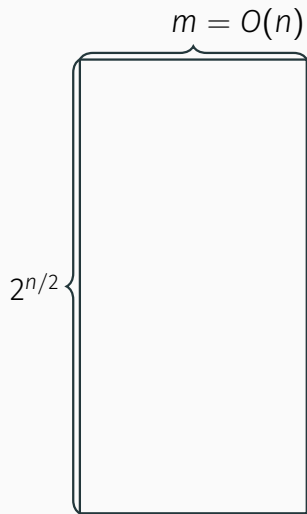
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- **Holy grail**:  $S = O(N \cdot d)$ ,  $T \geq N^\epsilon$

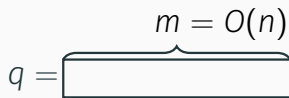
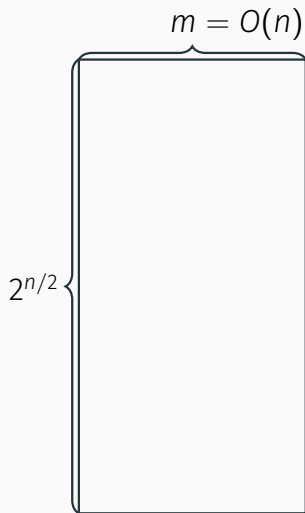
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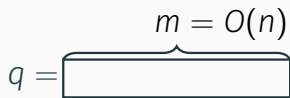
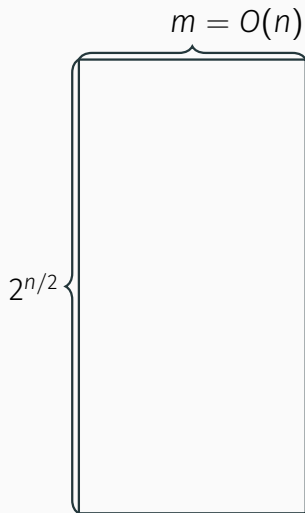


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Data structure with  $T_p = N^{2-\epsilon}$  and  $T = N^{1-\epsilon}$  would refute SETH

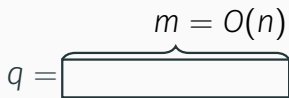
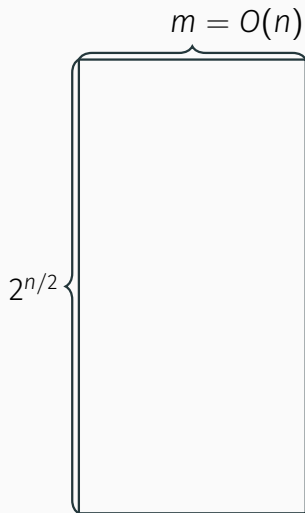
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Data structure with  $T_p = N^{2-\epsilon}$  and  $T = N^{1-\epsilon}$  would refute SETH

Data structure with  $T_p = N^c$  and  $T = N^{1-\epsilon}$  would refute SETH

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Not a time-space tradeoff!

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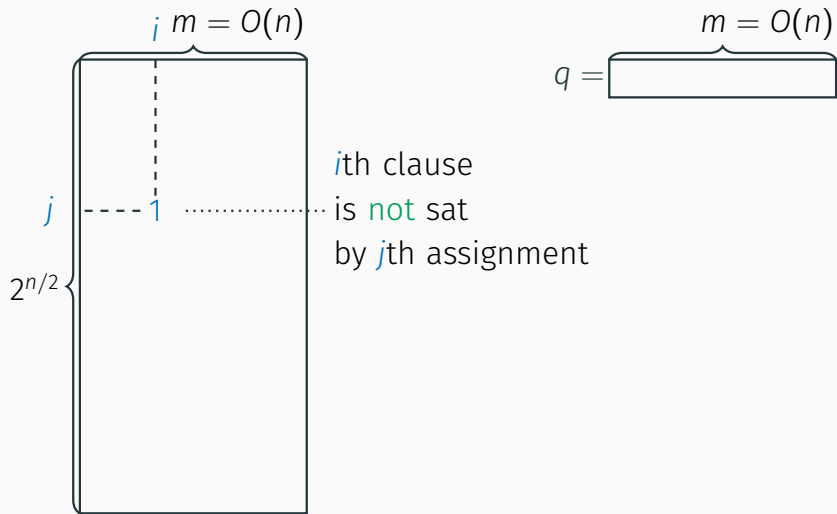
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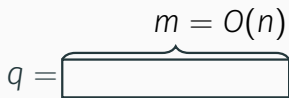
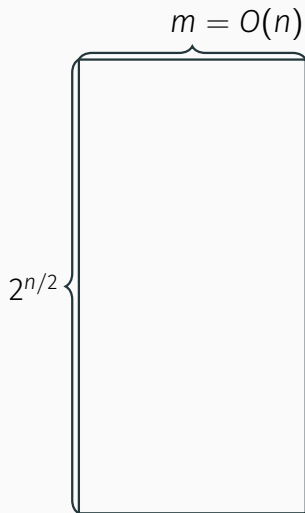
## Non-Uniform Strong Exponential Time Hypothesis (NUSETH)

For every  $\varepsilon > 0$ , there exists  $k$  such that no **non-uniform** algorithm solves  $k$ -SAT on formulas with  $n$  variables in time  $2^{(1-\varepsilon)n}$  (with advice of length  $2^{(1-\varepsilon)n}$ )

# NUSETH Lower Bound

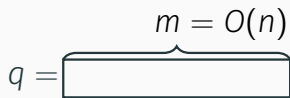
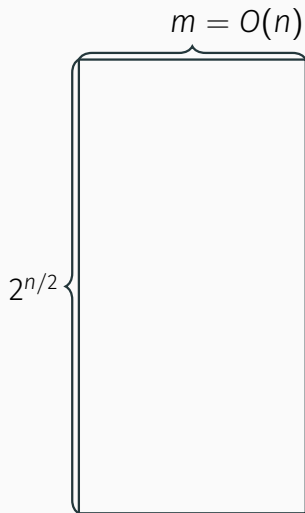


# NUSETH Lower Bound



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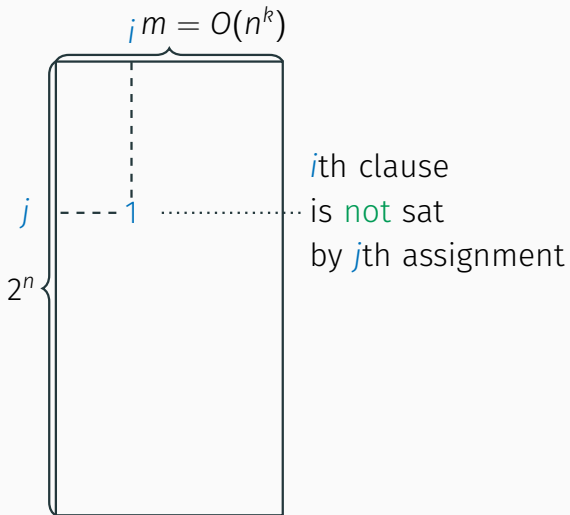
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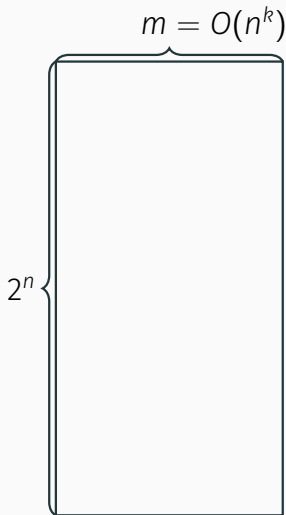
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We need one instance of OnlineOV that solves all  $\varphi$ 's

# Hardest Instance of OnlineOV



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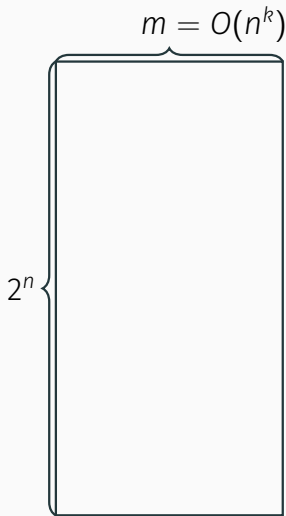


Given  $k$ -CNF formula  $\varphi$ , create query  $q$

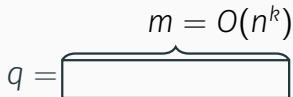
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Indicator vector of all clauses in  $\varphi$

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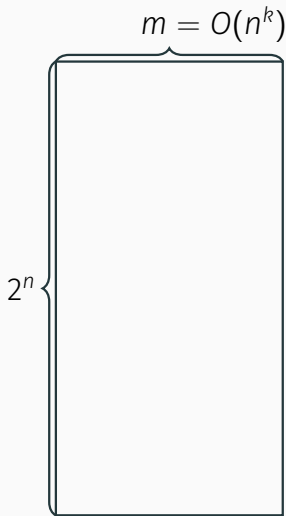
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Indicator vector of all clauses in  $\varphi$

$\varphi$  is satisfiable iff  $q$  is orthogonal to a vector in the table

Use downward self-reducibility to get  $2^{n/2}$  formulas over  $n/2$  variables.

# Generalizations

- Under NUSETH, there is no  $(N^c, N^{1-\epsilon})$ -data structure with **unbounded preprocessing** for OnlineOV in  $d = \text{poly}(\log N)$  dimensions. ([AV21]).

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- Lower bounds for PartialMatch, SubsetQuery, ContainmentQuery, OrthogonalRangeSearch, DNFEvaluation, OnlineIP, ApproxNearestNeighbors, ...

# Thanks!

## Theorem (Upper Bound)

*There is deterministic  $(S, T)$ -data structure for OnlineOV in  $d = c \log N$  dimensions with  $S = T_p = N^{1.1}$  and  $T = N^{1-\epsilon}$*

## Theorem (Lower Bound)

*Under NUSETH, there is no  $(N^c, N^{1-\epsilon})$ -data structure with unbounded preprocessing for OnlineOV in  $d = \text{poly}(\log N)$  dimensions*